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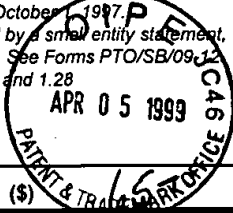
PTO/SB/17 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.  
These are the fees effective October 1, 1997.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09, 12  
See 37 C.F.R. §§ 1.27 and 1.28



Complete If Known

Application Number	09/240,048
Filing Date	29 January 1999
First Named Inventor	J. AINSWORTH
Examiner Name	To be Assigned
Group / Art Unit	2761
Attorney Docket No.	VIDI-1100 (103286-991100 / JJO)

TOTAL AMOUNT OF PAYMENT

(\$)

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 07-1895  
Deposit Account Name: GRAY, CARY WARE & FREIDENRICH

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 760	201 380	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 760	208 380	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) -0-

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims - 20** =	x		
Claims - 3** =	x		
Multiple Dependent Claims	x		

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) -0-

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65
127 50	227 25	Surcharge - late provisional filing or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for response within first month	
116 380	216 190	Extension for response within second month	
117 870	217 435	Extension for response within third month	
118 1,360	218 680	Extension for response within fourth month	
128 1,850	228 925	Extension for response within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive unavoidably abandoned application	
141 1,210	241 605	Petition to revive unintentionally abandoned application	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 760	246 380	Filing a submission after final rejection (37 CFR 1.129(a))	
149 760	249 380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)  
Other fee (specify)

SUBTOTAL (3) (\$) 65.

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Typed or Printed Name JOHN J. OSKOREP

Signature

*[Handwritten Signature]*

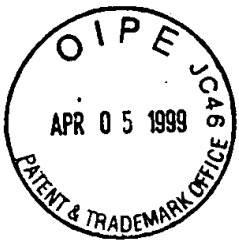
Date

30 March 1999

## COMPLETE (if applicable)

Reg. Number 41,234

Deposit Account  
User ID



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

J. AINSWORTH *et al.*

Serial No: 09/240,048

Filed: 29 January 1999

Group No.: 2761

Examiner: To be Assigned

Docket No. VIDI 1100

(103286-991100 / JJO)

For: METHOD OF AND APPARATUS FOR DYNAMICALLY  
GENERATING A USER PRESENTATION BASED ON  
DATABASE STORED RULES

**BOX: MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -  
FILING DATE GRANTED**

This document is submitted in response to the *NOTICE TO FILE MISSING PARTS OF APPLICATION*, dated 18 February 1999.

Transmitted herewith is an executed combined *Declaration/Power of Attorney* document, an executed *Verified Statement Claiming Small Entity Status*, and *Form PTO-1533*.

Also enclosed is a *Fee Transmittal PTO/SB/17* authorizing the Commissioner to charge the amount of \$65.00, or any other additional fee required, in connection with this filing, to USPTO Deposit Account No. 07-1895.

Respectfully submitted,

Date: 30 March 1999

JOHN J. OSKOREP

Attorney for Applicants

Registration No. 41,234

GRAY CARY WARE & FREIDENRICH

401 B Street, Suite 1700

San Diego, California 92101

Telephone: (619) 699-2700 Fax: (619) 236-1048

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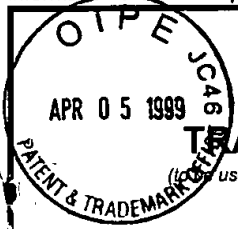
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Sector 843  
PTO/SB/21 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

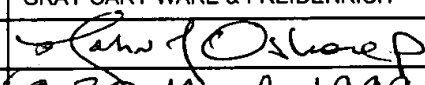
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

 <b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/240,048	
	Filing Date	29 January 1999	
	First Named Inventor	J. AINSWORTH	
	Examiner Name	To be Assigned	
	Group Art Unit	2761	
Total Number of Pages in This Submission	9	Attorney Docket Number	VIDI 1100 (103286-991100 / JJO)

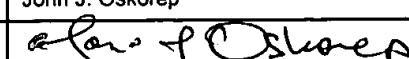
**ENCLOSURES (check all that apply)**

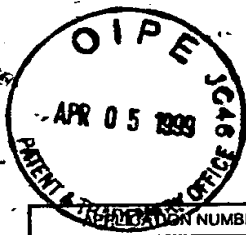
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO Form 1449 <input type="checkbox"/> (no.) cited references  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input checked="" type="checkbox"/> PTO Form 1533  <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Checklist and Accompanying Petition  <input type="checkbox"/> To Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation  <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):  <u>POSTCARD</u>  <u>See Remarks</u>
<b>Remarks:</b>  1. Response to File Missing Parts of Application (1 pgs) 2. Combined Declaration/Power of Attorney (3 pgs) 3. Verified Statement Claiming Small Entity Status (1 pg)		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	John J. Oskorep Reg. No. 41,234 GRAY CARY WARE & FREIDENRICH
Signature	
Date	30 March 1999

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>30 March 1999</u>			
Typed or printed name	John J. Oskorep		
Signature		Date	30 March 1999



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/240,048	01/29/99	AINSWORTH	J VIDI1100

0232/0218

NOT ASSIGNED

JOHN J OSKOREP  
GRAY CARY WARE & FREIDENRIQH  
401 B STREET  
SUITE 1700  
SAN DIEGO CA 92101

2761

DATE MAILED:

02/18/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
Small entity (statement filed) Non-small entity is \$130 \$65.00

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.
- Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$\_\_\_\_\_, including any multiple dependent claim fees, are required.
- \$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
- \$\_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.
- \$\_\_\_\_\_ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☒ is missing or unexecuted.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☒ 8. The application does not comply with the Sequence Rules.
- See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

FORM 2 - COPY TO BE RETURNED WITH RESPONSE